

Case N . 78314 18-18US

I HEREBY CERTIFY THIS PAPER OR FEE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED BELOW AND IS ADDRESSED TO: MAIL STOP PATENT APPLICATION, COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450.

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DATE OF DEPOSIT: 11/03/03

NAME: KRISTEN FERGUSON

SIGNATURE: *Kristen Ferguson*

COMMISSIONER FOR PATENTS  
PO BOX 1450  
ARLINGTON, VA 22313-1450

Transmitted herewith for filing is the patent application of:

Inventors: Adam BERGERON, Robert E. KLINGER, Georg J. OCKENFUSS, Markus K. TILSCH, Frederik Kevin ZERNIK

For: TUNABLE OPTICAL ADD/DROP DEVICE

Please note the following:

- ☒ Patent Application: 21 pages, 15 claims.  
9 sheets of drawings.  
The suggested drawing figure to be published is FIG. 5c  
A declaration and power of attorney.  
Citation Under 37 CFR 1.97 and PTO-1449.  
Assignee info:  
Name: JDS Uniphase Corporation  
Address: 1768 Automation Parkway  
San Jose, CA 95131  
State of Incorporation: Delaware  
☐ Applicant claims priority benefit to the following foreign application(s):  
Country:  
Application No.:  
Filing Date:  
☒ Applicant claims priority benefit to the following U.S. application(s):  
Application No.: 60/423,893  
Filing Date: November 5, 2002

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		SMALL ENTITY			LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE		RATE	FEE
BASIC FEE						\$ 385	<u>OR</u>		\$ 770
TOTAL CLAIMS	15	-20			X 9	\$	<u>OR</u>	X 18	\$
INDEP CLAIMS	4	- 3	1		X 43	\$	<u>OR</u>	X 86	\$ 86
* If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$		TOTAL	\$ 856

- ☒ Authorization is given to charge the Filing Fee in the amount of \$856.00 to Deposit Account 50-1465.  
☒ Authorization is given to charge the Assignment Recordal Fee in the amount of \$40.00 to Deposit Account 50-1465.  
☒ The Commissioner is authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 50-1465.  
☒ PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD:  
CHARLES E. WANDS  
  
☒ Please associate this application with Customer No. 27975.

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Nov mber 3, 2003



27975

PATENT TRADEMARK OFFICE

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